Cambridge International AS & A Level

HISTORY

Paper 1 Document Question 12 MARK SCHEME Maximum Mark: 40 9489/12 March 2021

Published

This mark scheme is published as an aid to teachers and candidates, to indicate the requirements of the examination. It shows the basis on which Examiners were instructed to award marks. It does not indicate the details of the discussions that took place at an Examiners' meeting before marking began, which would have considered the acceptability of alternative answers.

Mark schemes should be read in conjunction with the question paper and the Principal Examiner Report for Teachers.

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Generic Marking Principles

These general marking principles must be applied by all examiners when marking candidate answers. They should be applied alongside the specific content of the mark scheme or generic level descriptors for a question. Each question paper and mark scheme will also comply with these marking principles.

GENERIC MARKING PRINCIPLE 1:

Marks must be awarded in line with:

- the specific content of the mark scheme or the generic level descriptors for the question
- the specific skills defined in the mark scheme or in the generic level descriptors for the question
- the standard of response required by a candidate as exemplified by the standardisation scripts.

GENERIC MARKING PRINCIPLE 2:

Marks awarded are always whole marks (not half marks, or other fractions).

GENERIC MARKING PRINCIPLE 3:

Marks must be awarded **positively**:

- marks are awarded for correct/valid answers, as defined in the mark scheme. However, credit is given for valid answers which go beyond the scope of the syllabus and mark scheme, referring to your Team Leader as appropriate
- marks are awarded when candidates clearly demonstrate what they know and can do
- marks are not deducted for errors
- marks are not deducted for omissions
- answers should only be judged on the quality of spelling, punctuation and grammar when these features are specifically assessed by the question as indicated by the mark scheme. The meaning, however, should be unambiguous.

GENERIC MARKING PRINCIPLE 4:

Rules must be applied consistently, e.g. in situations where candidates have not followed instructions or in the application of generic level descriptors.

GENERIC MARKING PRINCIPLE 5:

Marks should be awarded using the full range of marks defined in the mark scheme for the question (however; the use of the full mark range may be limited according to the quality of the candidate responses seen).

GENERIC MARKING PRINCIPLE 6:

Marks awarded are based solely on the requirements as defined in the mark scheme. Marks should not be awarded with grade thresholds or grade descriptors in mind.

| Part (a) | Generic Levels of Response: | Marks |
|----------|--|-------|
| Level 4 | Makes a developed comparison Makes a developed comparison between the two sources. Explains <u>why</u> points of similarity and difference exist through contextual awareness and/or source evaluation. | 12–15 |
| Level 3 | Compares views and identifies similarities and differences Compares the views expressed in the two sources, identifying differences and similarities and supporting them with source content. | 8–11 |
| Level 2 | Compares views and identifies similarities <u>or</u> differences Identifies relevant similarities or differences between the two sources and the response may be one-sided with only one aspect explained. OR Compares views and identifies similarities <u>and</u> differences but these are asserted rather than supported from the sources Identifies relevant similarities and differences between the two sources | 4–7 |
| | without supporting evidence from the sources. | |
| Level 1 | Describes content of each source Describes or paraphrases the content of the two sources. Very simple comparisons may be made (e.g. one is from a letter and the other is from a speech) but these are not developed. | 1–3 |
| Level 0 | No creditable content. No engagement with source material. | 0 |

Please note, sentences in italics are intended as examples of evaluation.

| Part (b) | Generic Levels of Response: | Marks |
|----------|--|-------|
| Level 5 | Evaluates the sources to reach a supported judgement Answers are well focused, demonstrating a clear understanding of the sources and the question. Reaches a supported judgement about the extent to which the sources support the statement and weighs the evidence in order to do this. | 21–25 |
| Level 4 | Using evaluation of the sources to support and/or challenge the statement Demonstrates a clear understanding of how the source content supports and challenges the statement. Evaluates source material in context, this may be through considering the nature, origin and purpose of the sources in relation to the statement. | 16–20 |
| Level 3 | Uses the sources to support and challenge the statement Makes valid points from the sources to both challenge and support the statement. | 11–15 |
| Level 2 | Uses the sources to support or challenge the statement Makes valid points from the sources to either support the statement or to challenge it. | 6–10 |
| Level 1 | Does not make valid use of the sources Describes the content of the sources with little attempt to link the material to the question. Alternatively, candidates may write an essay about the question with little or no reference to the sources. | 1–5 |
| Level 0 | No creditable content. No engagement with source material. | 0 |

| Question | Answer | Marks |
|----------|--|-------|
| 1(a) | How far do Sources A and D agree on Metternich's attitude towards supporters of revolution in Germany? | 15 |
| | Similarities Both sources suggest there is support for revolution and that the press is the main threat. Both sources suggest that Metternich thinks he will have to introduce repressive measures to counter revolutionary feeling. Both sources suggest that Metternich believes there are limitations to the extent of support. In Source A Metternich thinks the students are bored and the professors are 'silly' and not capable of leading a revolution. In Source D he suggests that some social classes are not interested in revolution. | |
| | Differences Metternich's attitude is different. In Source A he thinks the students are childlike and easily bored. Although he wants to introduce measures against the universities, he seems quite confident that these will work. In Source D he is much more 'agitated' and seems alarmed by the level of support. Metternich downplays the seriousness of the threat in A whereas he seems much more worried in D and is considering using military force. In Source A, Metternich thinks his measures against the universities will work whereas this is clearly not the case in Source D. He is confident (maybe too confident) in A whereas in D he is agitated. | |
| | Context/evaluation: The similarities / differences could be explained by reference to the Carlsbad Decrees which were introduced in 1819 following the murder of a German dramatist by a member of a German student society. These included inspections for universities, press censorship, a commission to investigate revolutionary movements. Radical student leaders were imprisoned and some professors dismissed. These are the reforms Metternich has in mind in Source A. By the time of Source D Metternich's reforms are clearly not working. The July Revolution in Paris renewed interest in reform in Germany and there were increased calls for liberal reforms. The folk festival at Hambach attracted 30 000 people, including workers, students and peasants, who listened to nationalist and revolutionary speakers. | |
| | Accept any other valid responses. | |

| Question | Answer | Marks |
|----------|---|-------|
| 1(b) | 'There was a real threat of revolution in Germany after 1815.' How far do the sources support this assertion? | 25 |
| | Indicative content | |
| | Support Source B: this a satirical cartoon which was published all over Germany. This suggests that Metternich hasn't restricted the press quickly enough and there is a threat of revolutionary ideas spreading. Source C: the source is full of ideas about nationalism, power for the people and how those currently in control are illiberal and despotic. The fact that Wirth had a huge audience at Hambach suggests his ideas were popular and that revolutionary ideas are gaining popular support and are therefore a threat. Source D: Metternich's reaction suggests that even he might be surprised by the level of support for revolutionary ideas. He sees the situation as either giving in and having traditional power collapse or use force to reassert power. This suggests there was a | |
| | threat of revolution. | |
| | Challenge Source A: students seem to be going off the idea of revolution – are bored with it. In any case, there will be repressive measures introduced to limit the activities of the press and restrict the universities. Therefore, this source could be used to suggest the threat is not serious. Source B: the thinkers are not allowed to speak to each other, and, at face value, this suggests they cannot spread radical ideas. Source D: some of the population don't support revolutionary ideas – 'the mass of the people and the higher ranks of the bourgeoisie' are enemies of radical thinking. | |
| | Evaluation | |
| | Source A: Metternich – can use contextual knowledge to explain attitude / background. Candidates might discuss the content/context of the Carlsbad decrees. Metternich appears confident at this point and dismissive – this could be discussed in relation to his successes in 1815 and afterwards. | |
| | Source B: A widely distributed source, published in the press (one of Metternich's main targets) so purpose / audience might be significant here. It clearly has a satirical purpose which can be discussed. | |
| | Source C: The impact / audience of this source is important. The audience at the Hambach festival would clearly have responded well to Wirth's radical ideas but this does not mean that his ideas enjoyed widespread support in society. Contextually, the festival took place not long after the July Revolution in France and so many people were openly talking about revolutionary ideas again which means this could be useful for taking the temperature in the country at this time. | |

| Question | Answer | Marks |
|----------|--|-------|
| 1(b) | Source D: audience / purpose important? Metternich could be playing to the gallery (this report would be sent back to the government in Britain) or he could feel that he has been tricked (Hambach clearly not the folk festival it was advertised as). Context could be useful in evaluating the source (July Revolutions in France). It could be suggested that Metternich is being over-confident/hubristic in assuming everything can be controlled when there was plenty of evidence of anti-Austrian feeling across the German states. Accept any other valid responses. | |

| Question | Answer | Marks |
|----------|--|-------|
| 2(a) | Read Sources B and D. To what extent do these two sources agree about the North's response to the Harpers Ferry raid? | 15 |
| | Similarities Both describe some groups in the North as welcoming the Harpers Ferry raid: Source B 'fanatics and fools' and 'agitators' and Source D 'abolitionists'. Both agree that the reaction of these groups threaten the South: Source B 'these men preach war against the South' and Source D 'the abolitionists of the North spread alarm and apprehension throughout the South'. | |
| | Differences Source B says these Northern supporters of the raid are a minority: 'The great mass of people, North and South, condemn Brown's treason' while. Source D gives the impression that 'the abolitionists of the North' are the majority in the North, as shown by the reverence of John Brown shown by the Republican party. | |
| | Context/evaluation | |
| | Source B is an opinion piece from a Southern newspaper written soon after the Harpers Ferry raid. For a Southern source, it is surprisingly even-handed and restrained. Source D is an account of responses to the raid written six years later, after the Civil War was over. While seeming to claim to be a balanced account of the times, as shown by the title of the source, in fact Source D is more one-sided and partial than Source B. The best illustration of this is the failure to distinguish between the abolitionists of the North and the majority of the population of the free states. | |
| | Accept any other valid responses. | |

| Question | Answer | Marks |
|----------|--|-------|
| 2(b) | 'The South overreacted to the Harpers Ferry raid.' How far do the sources support this view? | 25 |
| | Source A challenges this assertion. It argues that the raid, though a 'silly invasion of Virginia by some eighteen men', was a sign of the destiny which awaited the South, namely that of insurrection and unrest, the mightiest of dangers. | |
| | Source B supports the assertion. It argues that while there was a minority of troublemakers in the South, the vast majority of men condemned the Harpers Ferry raid. The newspaper itself appeals for calm and urges Southerners not to support secessionist moves. They would be an over-reaction. | |
| | Source C can be used to support or to challenge the assertion. It can be seen as a support because it plays down the significance of the raid, which it dismisses as 'a vulgar crime'. The author maintains that the many in the South have portrayed the raiders as 'grand political criminals' in order to build up criticism of the North. To do so is undesirable, Source C argues. The author's views make the source a challenge. He's not overreacting – and he's from the South. | |
| | Source D challenges the assertion. It argues that the North exaggerated the significance of the raid, which spread alarm in the South, which caused them to react – but not overreact. | |
| | Evaluation | |
| | Source A is taken from a South Carolinian newspaper published soon after the raid took place. South Carolina was the most secessionist state, being the first to break away after the election of Lincoln. Thus, though the details of the raid are reasonably accurate, the conclusions about the dangers facing the South are exaggerated for effect. Thus, Source A is not really reliable. | |
| | Source B is published in a Southern newspaper and written within weeks of the Harpers Ferry raid. Source B is a more moderate response to the raid than is Source A, to which it provides a useful counterbalance. Source B does acknowledge the existence of 'fanatics and fools' in the South, among which the author of Source A might be included. Thus, it is more aware of the range of opinion in the South, making it relatively reliable. | |
| | Source C is a private letter written soon after the Harpers Ferry raid by a Southern lawyer. As might be expected of a lawyer, the argument is balanced, reasoned and reasonable. It is, however, largely the author's opinion, with little supporting evidence. In fact, at least one assertion is contradicted by Source B. The surprising feature of this source is that its author became a leading Confederate government minister in the civil war, when he supported a position he had opposed just a few years earlier. This detail is not needed to evaluate the source as written in 1859. Because it reveals the complexity of the issue, as a statement of Southern opinion at the time, Source C is useful and reliable. | |

| Question | Answer | Marks |
|----------|--|-------|
| 2(b) | Given the title of the Source and its date of publication, Source D would claim to be a disinterested account of contemporary history. Its account becomes partial only towards the end, when it blames the North's response to Harpers Ferry for provoking the response of the South, which was therefore not an overreaction. Source A shows the South overreacting straightway and not in response to the North. Thus, Source D is unreliable, a point reinforced by the provenance's description of its author. Accept any other valid responses. | |

| Question | Answer | Marks |
|----------|--|-------|
| 3(a) | Compare and contrast Sources A and C as evidence about Hitler's attitude to Czechoslovakia. | 15 |
| | Indicative content | |
| | Differences Source A shows Hitler believed that the 'annexation of Czechoslovakia' would take place at least as early as 1937; in Source C, Hitler's promises at Munich, in 1938, that 'We don't want any Czechs any more' are recorded. The motive for the invasion was because of 'disturbances in Czechoslovakia' in Source C but to bring advantages for Germany in Source A. | |
| | Similarities Hitler's true intentions are clear in both sources; his plan in Source A, to take over Czechoslovakia, is carried out in Source C, as Germany has invaded. Both sources indicate the takeover is part of a bigger plan; Hitler's attitude in 1937 is that Czechoslovakia is part of a wider plan and talks of the advantages in terms of further expansion and 'the freeing of forces for other purposes', while in Source C, even Chamberlain wonders whether this is the start 'of an attempt to dominate the world'. | |
| | Context/evaluation | |
| | Source A is taken from the Hossbach Memorandum. Hossbach was present at the secret meeting which took place in 1937. Hitler's motives for invasion are set out clearly in Source A, there were considerable strategic, military and economic advantages. Trouble among German citizens was clearly a spurious reason, recycled from the campaign to destabilise the Sudetenland the previous year. Candidates may note that this source takes place before the Anschluss with Austria in 1938. | |
| | The words of Hitler at the time of Munich (Source C) were clearly intended to placate the western democracies, and proved to be unreliable, as Chamberlain realises in March 1939. This source is after a very public meeting and other expansion by Hitler. | |
| | Accept any other valid responses. | |

| Question | Answer | Marks |
|----------|---|-------|
| 3(b) | How far do the sources support the view that Britain and France opposed the German takeover of Czechoslovakia? | 25 |
| | Indicative content | |
| | Source A challenges the view. Hitler is predicting that 'almost certainly Britain, and probably France' had 'written off' Czechoslovakia and would not oppose German actions there. He shows his awareness of public reluctance to see another war, and also that, on strategic grounds, an attack on Germany's 'western fortifications' would be seen as too likely to end up with the 'offensive being brought to a standstill'. | |
| | Source B supports, as it indicates that the French felt that they and the British, as guarantors of Czech independence at Munich, cannot 'accept without protest' the Germany invasion, without damage to their reputations. However, the obligation is identified as 'moral' rather than military. There is mention of diplomats from both countries taking 'concerted action' in Berlin. | |
| | Source C can be used on both sides of the argument. | |
| | Source C mostly supports the view, as it shows indignation with Hitler for breaking his pledges at Munich, and in particular asserts that any changes in Czech frontiers should 'be carried out by the international commission'. Chamberlain also indicates the fear that the German takeover of Czechoslovakia might reveal Hitler's intention 'to dominate the world by force'. | |
| | Source C challenges the view to some extent as there is no clear proposal for how opposition will be shown. Chamberlain refers to his previous hope 'to resolve all differences by discussion' and understanding for Hitler's actions: 'there was something to be said for the necessity of a change'. | |
| | Source D challenges the view. Goebbels asserts 'the total lack of reaction in the western democracies to the collapse of the former Czechoslovakia'. He mentions some opposition from the 'German-hating lying press' but maintains that the 'no significant figure is raising any objection'. He claims that this proves that Germany's actions were too just to be even 'disputed'. | |
| | Evaluation | |
| | Source A: Hitler knows about the pressures on the western democracies to avoid war and follow a path of appeasement and calculates this will extend to acceptance of Czechoslovakia being 'cleared up in due course by Germany'. This belief was informed by the success of his armies' march into the Rhineland the previous year, when France had proved reluctant to act 'without British support', and Britain had made it clear that there was no appetite for becoming involved 'in a long European war'. This source provides a reliable indication of the views of Hitler at the time. | |
| | Source B: The French Government are making a strong protest, which was followed up by diplomats, and this can be cross-referenced with Source C to show the reaction that this was a new step for Hitler, as there was no justification to be claimed. However, this can be questioned because the French have failed to act for so long – it is now rather late in the day. The only military response by the French was a decision to speed up rearmament. | |

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| Question | Answer | Marks |
|------------------|--|-------|
| Question 3(b) | AnswerSource C: This speech marks a new determination from Chamberlain to assert British opposition to German aggression and can be seen as the end of the policy of appeasement. The Prime Minister's initial response, in his announcement to parliament, had been criticised for its lack of conviction. | Marks |
| | The sources show that the Nazi violation of the Munich Agreement, by attacking the remainder of Czechoslovakia, marked a shift to clearer opposition from France (Source B) and Britain (Source C), although still only expressed in words at this point. Hitler's prediction (Source A), and Goebbels' propaganda (Source D) are inaccurate in this respect, although the expressions of protest from the western democracies did nothing to stop the successful German invasion of Czechoslovakia. | |
| | Accept any other valid responses. | |